

**AMENDMENT TRANSMITTAL LETTER**  
**Under Small Entity Status**

Attorney Docket No: 20-073-JF

Application Serial Number:  
09/762,000

Filing Date:  
1/17/2001

Examiner:  
Charles E. Phillips

Group Art Unit:  
3751

Invention: HOT WATER FAUCET LOCK

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20	MINUS	20	0	\$9	\$ 0.00
INDEP. CLAIMS	2	MINUS	3	0	\$42	\$ 0.00

Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of 10/15/2001 to and through \_\_\_\_\_, comprising an extension of the shortened statutory period of:

\_\_\_\_\_ one month (\$55)                      \_\_\_\_\_ three months (\$460)  
\_\_\_\_\_ two months (\$200)                      \_\_\_\_\_ four months (\$720)

**TOTAL ADDITIONAL FEE FOR THIS AMENDMENT**

☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

\_\_\_\_\_ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached.

\_\_\_\_\_ Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_.

☒ No additional fee is required.

Date 12/5/01

Gary E. Lambert  
Reg. No. 35,925

I hereby certify that this correspondence and all correspondence identified as accompanying this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 12/06/2001

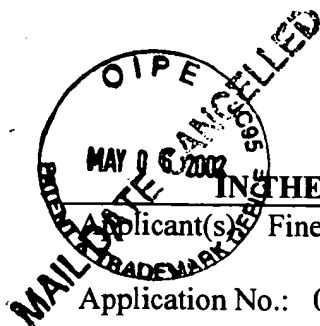
Edward Timmer  
Edward Timmer

Reg. No. 46,248

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GALL/3751



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Fine et al.

Application No.: 09/762,000

Filed: 1/17/2001

Title: HOT WATER FAUCET LOCK

Attorney Docket No.: 20-073-JF

Group Art Unit: 3751

Examiner: Phillips, Charles E.

Assistant Commissioner for Patents  
Washington, D.C. 20231

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TECHNOLOGY CENTER R3700

**RESPONSE TO REQUEST FOR CORRECTION OF THE INFORMALITY**

Dear Sir:

The Applicant, by his attorneys, hereby responds to an Office communication dated April 23, 2002, to correct the informality in the above-referenced application.

The informality in need of correction lies in the fact that the U.S. Patent and Trademark Office is lacking a readable copy of Applicant's Response to an Office Action. The Office Action in question was dated October 15, 2001, and was responded to by Applicant in a Response deposited with the U.S. Postal Service as first class mail on December 6, 2001.

However, at a later date, Applicant's attorneys learned that the Response filed on December 6, 2001, was destroyed in an irradiation process at the mail facility used by the U.S. Patent and Trademark Office. Therefore, Applicant's attorneys faxed to the U.S. Patent and Trademark Office a copy of the Response on April 3, 2002. Applicant's attorneys were later informed that this fax was unreadable. In response, Applicant's attorneys re-faxed the Response to the U.S. Patent and Trademark Office on April 16, 2002. However, on April 29, 2002, Applicant's attorneys received the latest communication from the U.S. Patent and Trademark Office, dated April 23, 2002, (Paper No. 7) notifying Applicant that the latest fax of the Response was unreadable and requesting a submission of a clean and readable copy of the Response within a one month period.

Therefore, Applicant, by his attorneys, hereby submits the following documents and papers:

- X A copy of the Response to an Office Action, which Response was deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.S. 20231 on December 6, 2001. (13 pages)

- X A copy of the Amendment Transmittal Letter dated December 5, 2001, which accompanied the aforementioned Response to an Office Action. (1 page)
- X Appointment of Associate Attorney Form, being hereby submitted for the first time to the U.S. Patent and Trademark Office in this application. (1 page)
- X Return Receipt Postcard.

Respectfully submitted,

04/30/2002  
Date

Edward Timmer  
Edward Timmer  
Attorney for Applicant(s)  
Reg. No. 46,248  
Lambert & Associates, PLLC  
92 State Street  
Boston, MA 02109-2004  
Tel: 617-720-5822

I hereby certify that this correspondence and all correspondence identified as accompanying this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 04/30/2002

Edward Timmer  
Edward Timmer

Reg. No. 46,248

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